Sector

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/057,628
TRANSMITTAL FORM *(to be used for all correspondence during pendency of filed application)*		Filing Date	**April 9, 1998**
		First Named Inventor	**Dong-Ying Kuo**
		Group Art Unit Number	**2774**
		Examiner Name	**Not Yet Known**

Total Number of Pages in This Submission	13	Attorney Docket Number	3157

ENCLOSURES *(check all that apply)*

- ☑ Fee Transmittal Form (in duplicate)
  - ☑ Check Enclosed
- ☑ Return Receipt Postcard
- ☑ Response to Notice to File Missing Parts
- ☑ Assignment & PTO-1595
- ☑ Declaration
- ☐ Small Entity Statement
- ☐ Information Disclosure Statement & PTO-1449
  - ☐ Copies of IDS Cited References
- ☐ Request for Corrected Filing Receipt
- ☐ Request for Correction of Recorded Assignment
- ☐ Amendment/Response: [ ] Page(s)
  - ☐ After Final
- ☐ Status Request
- ☐ Revocation and Power of Attorney

- ☐ Issue Fee Transmittal
- ☐ Letter to Chief Draftsperson
- ☐ Formal Drawing(s):
  - [ ] Sheet(s) of Figure(s) [ ]
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Certified Copy of Priority Document(s)
- ☐ After Allowance Communication to Group
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:				
Attorney/Reg. No.:	**Sanjay Prasad/36,247**		Dated:	6/1/98

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:				
Typed or Printed Name:	Sanjay Prasad		Dated:	6/1/98
Express Mail Mailing Number *(optional)*:				

18235/03157/2765
Rev. 06/01/98

PTO/SB/17 (6-95)(modified)
Approved for use through 11/30/96, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
840FY98

U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
	Application Number	09/057,628
	Filing Date	April 9, 1998
FEE TRANSMITTAL	First Named Inventor	Dong-Ying Kuo
	Group Art Unit	2774
TOTAL AMOUNT OF PAYMENT	Examiner Name	Not Yet Known
Subtotal (1) + Subtotal (2) + Subtotal (3) = **($)170.00**	Attorney Docket Number	3157

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

[ ] Charge the indicated fees to the below mentioned deposit account.

[X] Charge any additional fee required under 37 CFR 1.16 and 1.17 or credit any over payments to the below mentioned deposit account. †

[ ] Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. [X] Payment Enclosed:
[X] Check   [ ] Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/$790	201/$395	Utility Filing	
106/$330	206/$165	Design Filing	
108/$790	208/$395	Reissue Filing	
114/$150	214/$75	Provisional Filing	

SUBTOTAL (1)   ($).00

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/$22	203/$11	Claims in excess of 20
102/$82	202/$41	Independent claims in excess of 3
104/$270	204/$135	Multiple dependent claim
109/$82	209/$41	Reissue independent claims over original patent
110/$22	210/$11	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/$130	205/$65	Surcharge - late filing fee or oath	130
127/$50	227/$25	Surcharge-late provisional filing fee or cover sheet	
147/$2,520	147/$2,520	For filing a request for reexamination	
115/$110	215/$55	Extension for response within first month†	
116/$400	216/$200	Extension for response within second month†	
117/$950	217/$475	Extension for response within third month†	
118/$1,510	218/$755	Extension for response within fourth month†	
128/$2,060	228/$1,030	Extension for response within fifth month†	
119/$310	219/$155	Notice of Appeal	
141/$1,320	241/$660	Petition to revive unintentionally abandoned application	
142/$1,320	242/$660	Utility Issue Fee (Or Reissue)	
143/$450	243/$225	Design Issue Fee	
122/$130	122/$130	Petitions to the Commissioner	
123/$50	123/$50	Petitions related to provisional applications	
126/$240	126/$240	Submission of Information Disclosure Statement	
581/$40	581/$40	Recording each patent assignment per property (times number of properties)	40
146/$790	246/$395	Filing a submission after final rejection (37 CFR 1.129(a))	
149/$790	249/$395	For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify):	
		Other fee (specify):	

SUBTOTAL (3)   ($)170

For	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For		(Col. 3) Extra**		Fee		Fee Due
TOTAL		minus*	20	or		=		x	=
INDEP		minus*	3	or		=		x	=
[ ] First presentation of multiple dependent claim								=	

* Subtract the greater number of Col. 2
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2)   ($).00

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Sanjay Prasad	Reg. Number	36,247
Signature		Date	6/1/98
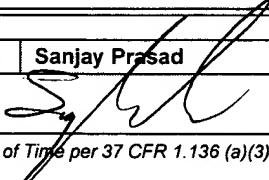
† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
18235/03157/2764
Rev. 06/01/98